

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OHIO  
WESTERN DIVISION (TOLEDO)

PLUMBERS & PIPEFITTERS NATIONAL )  
PENSION FUND; SEIU PENSION PLANS ) Civil Action No. 3:05-cv-07393-JGC  
MASTER TRUST; and WEST VIRGINIA ) CLASS ACTION  
LABORERS PENSION TRUST FUND, On Behalf )  
of Themselves and All Others Similarly Situated, ) Senior Judge James G. Carr  
Plaintiffs, )  
vs. )  
MICHAEL J. BURNS and ROBERT C. RICHTER, )  
Defendants. )  
\_\_\_\_\_)

**PROOF OF CLAIM AND RELEASE**

**I. GENERAL INSTRUCTIONS**

1. To recover as a member of the Class based on your claims in the action entitled *Plumbers & Pipefitters National Pension Fund, et al. v. Michael J. Burns, et al.*, Civil Action No. 3:05-cv-07393-JGC (the "Litigation"), you must complete and, on page 7 hereof, sign this Proof of Claim and Release ("Proof of Claim Form"). If you fail to file a properly addressed (as set forth in paragraph 3 below) Proof of Claim Form, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed Settlement of the Litigation.

2. Submission of this Proof of Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Litigation.

3. **YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, ON OR BEFORE OCTOBER 31, 2016, ADDRESSED AS FOLLOWS:**

*Dana Corporation Investor Action*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30224  
College Station, TX 77842-3224

Online Submissions: [www.DanaCorporationInvestorAction.com](http://www.DanaCorporationInvestorAction.com)

If you are NOT a member of the Class, as defined in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), DO NOT submit a Proof of Claim Form.

4. If you are a member of the Class and you do not timely request exclusion in connection with the proposed Settlement, you will be bound by the terms of any judgment entered in the Litigation, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM FORM.

**II. CLAIMANT IDENTIFICATION**

If you purchased the Publicly Traded Securities<sup>1</sup> of Dana Corporation between April 21, 2004 and October 7, 2005, inclusive, use Part I of this form entitled "Claimant Identification" to list the claimant name, mailing address, and account information if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). Please list the most current claimant or account name as you would like the information to appear on the check, if eligible for payment. Please also provide a telephone number and/or e-mail address, as the Claims Administrator may need to contact you with questions about the claim submitted. If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.

<sup>1</sup> Publicly Traded Securities means Dana Corporation's common stock and the following Bonds: 6.5% Bond issued on March 16, 1998, with a maturity date of March 15, 2008 (CUSIP 235811AH9); 7.0% Bond issued on March 16, 1998, with a maturity date of March 15, 2028 (CUSIP 235811AJ5); 6.5% Bond issued on March 3, 1999, with a maturity date of March 1, 2009 (CUSIP 235811AK2); 7.0% Bond issued on March 3, 1999, with a maturity date of March 1, 2029 (CUSIP 235811AL0); 9.0% Bond issued on February 20, 2002, with a maturity date of August 25, 2011 (CUSIP 235811AU0); 10.125% Bond issued on October 16, 2002, with a maturity date of March 15, 2010 (CUSIP 235811AX4); 5.85% Bond issued on May 26, 2005, with a maturity date of January 15, 2015 (CUSIP 235811BA3); 5.85% Bond issued on December 10, 2004, with a maturity date of January 15, 2015 (CUSIP 235811AY2); and 8.375% Bond issued by Dana Credit Corp., a subsidiary of Dana Corporation, on August 22, 2000, with a maturity date of August 15, 2007 (CUSIP 235811MAB6) (collectively, the "Bonds").



If you are acting in a representative capacity on behalf of a Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on page 7 of this claim form.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. If you have a large number of transactions and wish to file your claim electronically, you must contact the Claims Administrator at 1-844-857-5171 to obtain the required file layout.

### III. CLAIM FORM

Use Part II of this form entitled "Schedule of Transactions in Dana Corporation Publicly Traded Securities" to supply all required details of your transaction(s) in Dana Corporation Publicly Traded Securities. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to **all** of your purchases and **all** of your sales of Dana Corporation Publicly Traded Securities between April 21, 2004 and January 6, 2006, inclusive, whether such transactions resulted in a profit or a loss. You must also provide all of the requested information with respect to **all** of the shares of Dana Corporation common stock you held at the close of trading on April 20, 2004, October 7, 2005, and January 6, 2006, and any Dana Corporation Bonds retained at the close of trading on April 20, 2004 and January 6, 2006. Failure to report all such transactions may result in the rejection of your claim.

List these transactions separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

The date of covering a "short sale" is deemed to be the date of purchase of Dana Corporation common stock. The date of a "short sale" is deemed to be the date of sale of Dana Corporation common stock.

Copies of stockbroker confirmation slips, stockbroker statements, or other documents evidencing your transactions in Dana Corporation common stock or Bonds should be attached to your claim. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.



Must Be Postmarked (If Mailed) or Received (If Filed Electronically) No Later Than October 31, 2016



**DSC**



Official  
Office  
Use  
Only

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OHIO  
WESTERN DIVISION

*Plumbers & Pipefitters National Pension Fund, et al.  
v. Michael J. Burns, et al.*

Civil Action No. 3:05-cv-07393-JGC

**PROOF OF CLAIM AND RELEASE**

Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

**PART I: CLAIMANT IDENTIFICATION**

Last Name <input style="width:95%" type="text"/>	M.I. <input style="width:20px" type="text"/>	First Name <input style="width:95%" type="text"/>
Last Name (Co-Beneficial Owner) <input style="width:95%" type="text"/>	M.I. <input style="width:20px" type="text"/>	First Name (Co-Beneficial Owner) <input style="width:95%" type="text"/>
<input type="radio"/> IRA <input type="radio"/> Joint Tenancy <input type="radio"/> Employee <input type="radio"/> Individual <input type="radio"/> Other _____ (specify)		
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA <input style="width:95%" type="text"/>		
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above) <input style="width:95%" type="text"/>		
Account#/Fund# (Not Necessary for Individual Filers) <input style="width:95%" type="text"/>		

Last Four Digits of Social Security Number <input style="width:40px" type="text"/>	or	Taxpayer Identification Number <input style="width:20px" type="text"/> - <input style="width:40px" type="text"/>
Telephone Number (Primary Daytime) <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/>		Telephone Number (Alternate) <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/>
Email Address <input style="width:95%" type="text"/>		

**MAILING INFORMATION**

Address <input style="width:95%" type="text"/>		
Address <input style="width:95%" type="text"/>		
City <input style="width:95%" type="text"/>	State <input style="width:20px" type="text"/>	Zip Code <input style="width:40px" type="text"/>
Foreign Province <input style="width:35px" type="text"/>	Foreign Postal Code <input style="width:30px" type="text"/>	Foreign Country Name/Abbreviation <input style="width:60px" type="text"/>

FOR CLAIMS PROCESSING ONLY	OB <input style="width:20px" type="text"/>	CB <input style="width:20px" type="text"/>	<input type="radio"/> ATP	<input type="radio"/> BE	<input type="radio"/> FL	<input type="radio"/> OP	MM / DD / YYYY	FOR CLAIMS PROCESSING ONLY
<input type="radio"/> KE	<input type="radio"/> DR	<input type="radio"/> ME	<input type="radio"/> RE					
<input type="radio"/> ICI	<input type="radio"/> EM	<input type="radio"/> ND	<input type="radio"/> SH					



**PART II. SCHEDULE OF TRANSACTIONS IN DANA CORPORATION PUBLICLY TRADED SECURITIES**

**A. DANA CORPORATION COMMON STOCK**

1. Number of shares of Dana Corporation common stock held at the close of trading on April 20, 2004:           Proof Enclosed?  Y  N

2. Purchases of Dana Corporation common stock (April 21, 2004– January 6, 2006, inclusive):

PURCHASES				Total Purchase Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar	Proof of Purchase Enclosed?																
Trade Date(s) of Shares (List Chronologically)			Number of Shares Purchased																		
M	M	D	D	Y	Y	Y	Y														
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
5.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N

IMPORTANT: If any purchase listed covered a "short sale," please mark Yes:  Yes

3. Sales of Dana Corporation common stock (April 21, 2004 – January 6, 2006, inclusive):

SALES				Total Sales Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar	Proof of Sales Enclosed?																
Trade Date(s) of Shares (List Chronologically)			Number of Shares Sold																		
M	M	D	D	Y	Y	Y	Y														
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
5.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N

4. Number of shares of Dana Corporation common stock held at the close of trading on October 7, 2005:           Proof Enclosed?  Y  N

5. Number of shares of Dana Corporation common stock held at the close of trading on January 6, 2006:           Proof Enclosed?  Y  N

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

**YOUR SIGNATURE ON PAGE 7 WILL CONSTITUTE YOUR ACKNOWLEDGMENT OF THE RELEASE.**



**B. DANA CORPORATION BONDS**

Bond Name	CUSIP
(i) 6.5% Bond, due 3/15/08	235811AH9
(ii) 7.0% Bond, due 3/15/28	235811AJ5
(iii) 6.5% Bond, due 3/1/09	235811AK2
(iv) 7.0% Bond, due 3/1/29	235811AL0
(v) 9.0% Bond, due 8/25/11	235811AU0
(vi) 10.125% Bond, due 3/15/10	235811AX4
(vii) 5.85% Bond, due 1/15/15	235811BA3
(viii) 5.85% Bond, due 1/15/15	235811AY2
(ix) 8.375% Bond, due 8/15/07	23581MAB6

As set forth above, be sure to identify the security by the last three characters of the CUSIP number.

1. Number and type of Dana Corporation Bonds held at the close of trading on April 20, 2004:

Last 3 Characters of CUSIP	Face Value held at the close of trading on April 20, 2004
<input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	\$ <input type="text"/> .00

2. Purchases of Dana Corporation Bonds (April 21, 2004 - January 6, 2006, inclusive):

Last 3 Characters of CUSIP	Purchase Date M M D D Y Y	Face Value	Total Purchase Price (Excluding Commissions, Taxes and Fees)
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00

3. Sales of Dana Corporation Bonds (April 21, 2004 - January 6, 2006, inclusive):

Last 3 Characters of CUSIP	Sale Date M M D D Y Y	Face Value	Total Sale Price (Excluding Commissions, Taxes and Fees)
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/> .00

4. Number and type of Dana Corporation Bonds held at the close of trading on January 6, 2006:

Last 3 Characters of CUSIP	Face Value held at the close of trading on January 6, 2006
<input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	\$ <input type="text"/> .00
<input type="text"/>	\$ <input type="text"/> .00

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

**YOUR SIGNATURE ON PAGE 7 WILL CONSTITUTE YOUR ACKNOWLEDGMENT OF THE RELEASE.**



#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim Form under the terms of the Stipulation of Settlement dated July 13, 2016 (“Stipulation”) described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of Ohio, Western Division with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the purchase of Dana Corporation Publicly Traded Securities (except for a claim in Dana Corporation’s bankruptcy proceeding) and know of no other person having done so on my (our) behalf.

#### V. RELEASE

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the Released Persons as provided in the Stipulation.

2. “Related Parties” means Dana Corporation’s and/or each of the Defendants’ present and former parents, subsidiaries, affiliates, predecessors, successors, joint venturers and assigns, and each of their respective officers, directors, employees, partners, controlling shareholders, principals, trustees, attorneys, auditors, accountants, investment bankers, underwriters, consultants, agents, insurers, re-insurers, spouses, estates, related or affiliated entities, any entity in which Dana Corporation and/or a Defendant has a controlling interest, any members of any Defendant’s immediate family, any trust of which any Defendant is the settlor or which is for the benefit of any Defendant and/or member(s) of his family, and each of the heirs, executors, administrators, predecessors, successors, and assigns of the foregoing.

3. “Released Claims” means any and all rights, liabilities, suits, debts, obligations, demands, damages, losses, judgments, matters, issues, claims (including Unknown Claims as defined below), and causes of action of every nature and description whatsoever, in law or equity, whether accrued or unaccrued, fixed or contingent, liquidated or unliquidated, whether arising under federal, state, local, statutory, common law, foreign law, or any other law, rule, or regulation, and whether class and/or individual in nature, concerning, based on, arising out of, or in connection with both: (i) the purchase of Dana Corporation’s Publicly Traded Securities by Plaintiffs or any Class Member during the Class Period; and (ii) the allegations, transactions, acts, facts, matters, occurrences, disclosures, statements, representations, omissions, or events that were or could have been alleged or asserted in the Litigation. Released Claims do not include claims to enforce the Settlement.

4. “Released Persons” means Dana Corporation and each and all of the Defendants and their Related Parties.

5. “Unknown Claims” means any of the Released Claims which Plaintiffs or any Class Member does not know or suspect to exist in such party’s favor at the time of the release of the Released Persons, and any of the Defendants’ Released Claims that the Released Persons do not know or suspect to exist in his, her or its favor at the time of the release of the Class Representatives, Plaintiffs, each and all of the Class Members and Plaintiffs’ Counsel, which, if known by such party, might have affected such party’s settlement with and release of the Released Persons or Class Representatives, Plaintiffs, each and all of the Class Members and Plaintiffs’ Counsel, or might have affected such party’s decision not to object to this Settlement. With respect to any and all Released Claims and the Defendants’ Released Claims, upon the Effective Date, the Class Representatives and Defendants shall expressly, and each of the Class Members and Released Persons shall be deemed to have, and by operation of the Order and Final Judgment shall have, expressly waived to the fullest extent permitted by law, the provisions, rights, and benefits of California Civil Code §1542, which provides:

**A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.**

Class Representatives and Defendants shall expressly, and each of the Class Members and Released Persons shall be deemed to have, and by operation of the Order and Final Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable or equivalent to California Civil Code §1542. The Class Representatives, Class Members, and the Released Persons may hereafter discover facts in addition to or different from those which such party now knows or believes to be true with respect to the subject matter of the Released Claims and the Defendants’ Released Claims, but the Class Representatives and Defendants shall expressly, and each Class Member and Released Person, upon the Effective Date, shall be deemed to have, and by operation of the Order and Final Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, or the Defendants’ Released Claims, as the case may be, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct that is negligent, reckless, intentional, with or without malice, or a breach of any duty, law, or rule, without regard to the subsequent discovery or existence of such different or additional facts. The Class Representatives and Defendants acknowledge, and the Class Members and Released Persons shall be deemed by operation of the Order and Final Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a key element of the Settlement of which this release is a part.



6. This release shall be of no force or effect unless and until the Court approves the Stipulation and the Settlement becomes effective on the Effective Date.

7. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

8. I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Dana Corporation Publicly Traded Securities which are the subject of this claim, as well as the opening and closing positions in such securities held by me (us) on the dates requested in this Proof of Claim Form.

I declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_  
(Month/Year) (City/State/Country)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser, Executor or Administrator)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

- 1. Please sign the above release and declaration.
- 2. If this claim is being made on behalf of Joint Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. **Do not send** originals of certificates.
- 5. Keep a copy of your claim form and all supporting documentation for your records.
- 6. If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
- 7. If you move, please send your new address to the address below.
- 8. **Do not use red pen or highlighter** on the Proof of Claim Form or supporting documentation.

**THIS PROOF OF CLAIM FORM MUST BE SUBMITTED ONLINE OR POSTMARKED, IF MAILED,  
NO LATER THAN OCTOBER 31, 2016,  
ADDRESSED AS FOLLOWS:**

*Dana Corporation Investor Action*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30224  
College Station, TX 77842-3224  
[www.DanaCorporationInvestorAction.com](http://www.DanaCorporationInvestorAction.com)



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